

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	10/724,321
Filing Date	November 26, 2003
First Named Inventor	Scott H. Robinson
Art Unit	Unknown
Examiner Name	To Be Determined
Total Number of Pages in This Submission	64
Attorney Docket Number	42P17409

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Copies of 51 Cited References; and Return Receipt Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Farzad E. Amini, Reg. No. 42,261 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 8, 2004

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Margaux Rodriguez
Signature	
Date	April 8, 2004



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	10/724,321
Filing Date	November 26, 2003
First Named Inventor	Scott H. Robinson
Examiner Name	To Be Determined
Art Unit	Unknown
Attorney Docket No.	42P17409

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims - 33** = X =
Independent Claims - 4 = X =
Multiple Dependent =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Farzad E. Amini

Registration No. (Attorney/Agent)

42,261

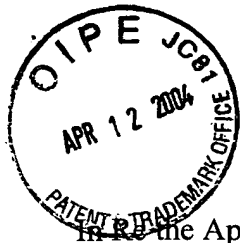
Telephone

(310) 207-3800

Signature

Date

04/08/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SCOTT H. ROBINSON, ET AL.

Application No.: 10/724,321

Filed: November 26, 2003

For: **ACCESSING PRIVATE DATA ABOUT
THE STATE OF A DATA PROCESSING
MACHINE FROM STORAGE THAT IS
PUBLICLY ACCESSIBLE**

Art Group: Unknown

Examiner: To Be Determined

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

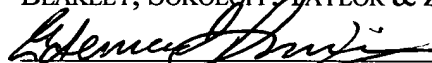
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Date: April 08, 2004



Farzad E. Amini, Reg. No. 42,261

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Margaux Rodriguez

04-08-04

Date

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/724321
Filing Date	November 26, 2003
First Named Inventor	Espinosa, Gustavo
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 7

Attorney Docket No: 42P17409

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-20010021969	09/13/2001	Burger, Stephen G., et al.			05/07/2001
	US-20010027527	10/04/2001	Khidekel, Yuri , et al.			02/23/2001
	US-20010037450	11/01/2001	Metlitski, Evgueny A., et al.			03/01/2001
	US-20030018892	01/23/2003	Tello, Jose			07/19/2001
	US-3,996,449	12/07/1976	Attanasio, Clement R., et al.			08/25/1975
	US-4,037,214	07/09/1997	Birney, Richard E., et al.			04/30/1976
	US-4,162,536	07/24/1979	Morley, Richard E.			01/30/1978
	US-4,247,905	01/27/1981	Yoshida, Yukihiro , et al.	711	166	08/26/1977
	US-4,276,594	06/30/1981	Morley, Richard E.			07/16/1978
	US-4,278,837	07/14/1981	Best, Robert M.			06/04/1979
	US-4,307,447	12/22/1981	Provanzano, Salvatore R., et al.			06/19/1979
	US-4,319,323	03/09/1982	Ermolovich, Thomas R., et al.			04/04/1980
	US-4,347,565	08/31/1982	Kaneda, Saburo , et al.			11/30/1979
	US-4,366,537	12/28/1982	Heller, Andrew R., et al.			05/23/1980
	US-4,430,709	02/07/1984	Schleupen, Richard , et al.			07/07/1981
	US-4,521,852	06/04/1985	Guttag, Karl M.			06/30/1982
	US-4,571,672	02/18/1986	Hatada, Minoru , et al.			12/19/1983
	US-4,759,064	07/19/1988	Chaum, David L.			10/07/1985
	US-4,795,893	01/03/1989	Ugon, Michael			07/10/1987
	US-4,802,084	01/31/1989	Ikegaya, Hiroshi , et al.			02/10/1986
	US-4,825,052	04/25/1989	Chemin, Francois , et al.			12/30/1986
	US-4,907,270	03/06/1990	Hazard, Michel			03/10/1998
	US-4,907,272	03/06/1990	Hazard, Michel			03/10/1998
	US-4,910,774	03/20/1990	Barakat, Simon			07/08/1998
	US-4,975,836	12/04/1990	Hirosawa, Toshio , et al.			12/16/1985
	US-5,007,082	04/09/1991	Cummins, Mary T.			02/26/1990
	US-5,022,077	06/04/1991	Bealkowski, Richard , et al.			08/25/1989
	US-5,075,842	12/24/1991	Lai, Konrad K.			12/22/1989
	US-5,079,737	01/07/1992	Hackbarth, Holden G.	711	164	10/25/1988
	US-5,187,802	02/16/1993	Inoue, Taro , et al.			02/18/1989
	US-5,230,069	02/16/1993	Brelsford, David P., et al.			10/02/1990
	US-5,255,379	03/08/1994	Melo, Michael D.			12/28/1990
	US-5,293,424	03/08/1994	Hotley, Thomas O., et al.			10/14/1992
	US-5,295,251	03/15/1994	Wakui, Fujio , et al.			09/21/1990
	US-5,317,705	05/31/1994	Gannon, Patrick M., et al.			08/26/1993
	US-5,319,760	06/07/1994	Mason, Andrew H., et al.			06/28/1991
	US-5,361,375	11/01/1994	Ogi, Yoshifumi			05/24/1993
	US-5,386,552	01/31/1995	Garney, John L.			07/18/1994

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

Application Number	10/724321
Filing Date	November 26, 2003
First Named Inventor	Espinosa, Gustavo
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 2 of 7

Attorney Docket No: 42P17409

	US-5,421,006	05/30/1995	Jablon, David P., et al.			04/20/1994
	US-5,434,999	07/18/1995	Goire, Christian , et al.			04/08/1993
	US-5,437,033	07/25/1995	Inoue, Taro , et al.			11/04/1991
	US-5,442,645	08/15/1995	Ugon, Michel , et al.			04/24/1994
	US-5,455,909	10/03/1995	Blomgren, James S., et al.			04/22/1992
	US-5,459,867	10/17/1995	Adams, Phillip M., et al.			09/30/1993
	US-5,459,869	10/17/1995	Spilo, Michael L.			02/17/1994
	US-5,469,557	11/21/1995	Salt, Tom , et al.			03/05/1993
	US-5,473,692	12/05/1995	Davis, Derek L.			09/07/1994
	US-5,479,509	12/26/1995	Ugon, Michael			04/06/1994
	US-5,504,922	04/02/1996	Seki, Yukihiko , et al.			09/06/1994
	US-5,506,975	04/09/1996	Onodera, Osama			12/14/1993
	US-5,511,217	04/23/1996	Nakajima, Atsushi , et al.			11/30/1993
	US-5,522,075	05/28/1996	Robinson, Paul T., et al.			03/22/1994
	US-5,528,231	06/18/1996	Patarin, Jacques			06/07/1994
	US-5,533,126	07/02/1996	Hazard, Michel , et al.			04/21/1994
	US-5,555,385	09/10/1996	Osisek, Damian L.			10/27/1993
	US-5,555,414	09/10/1996	Hough, Roger E., et al.			12/14/1994
	US-5,564,040	10/08/1996	Kubala, Jeffrey P.			11/08/1994
	US-5,566,323	10/15/1996	Ugon, Michel			10/24/1994
	US-5,568,552	10/22/1996	Davis, Derek L.			10/22/1996
	US-5,574,936	11/12/1996	Ryba, Edward G., et al.			01/25/1995
	US-5,582,717	12/10/1996	Di Santo, Dennis E.			04/26/1993
	US-5,606,617	02/25/1997	Brands, Stefanus A.			10/14/1994
	US-5,615,263	03/25/1997	Takahashi, Richard J.			01/06/1995
	US-5,628,022	05/06/1997	Ueno, Masahiro , et al.			06/01/1994
	US-5,657,445	08/12/1997	Pearce, John J.			01/26/1996
	US-5,717,903	02/10/1998	Bonola, Thomas J.			05/15/1995
	US-5,720,609	02/24/1998	Pfefferle, William C.			12/11/1996
	US-5,721,222	02/24/1998	Bernstein, Peter R., et al.			08/25/1995
	US-5,729,760	03/17/1998	Poisner, David I.			06/21/1996
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	US-5,737,760	08/07/1998	Grimmer, Jr., George G., et al.	711	163	10/06/1995
	US-5,757,919	05/26/1998	Herbert, Howard C., et al.			12/12/1996
	US-5,764,969	06/09/1998	Kahle, James A.			02/10/1995
	US-5,796,835	08/18/1998	Saada, Charles			05/07/1997
	US-5,796,845	08/18/1998	Serikawa, Mitsuhiko , et al.			06/26/1997
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	US-5,844,986	12/01/1998	Davis, Derek L.			09/30/1996

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/724321
Filing Date	November 26, 2003
First Named Inventor	Espinosa, Gustavo
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 3 of 7

Attorney Docket No: 42P17409

	US-5,852,717	12/22/1998	Bhide, Chandrashekhar W., et al.			11/20/1996
	US-5,854,913	12/29/1998	Goetz, John W., et al.			06/10/1997
	US-5,867,577	02/02/1999	Patarin, Jacques			03/09/1995
	US-5,872,994	02/16/1999	Akiyama, Shin-Ichiro, et al.			11/12/1996
	US-5,890,189	03/30/1999	Nozue, Hiroshi, et al.			12/03/1996
	US-5,900,606	05/04/1999	Rigal, Vincent			11/12/1996
	US-5,901,225	05/04/1999	Ireton, Mark A., et al.			12/05/1996
	US-5,903,752	05/11/1999	Dingwall, Thomas J., et al.			10/17/1996
	US-5,937,063	08/10/1999	Davis, Derek L.			09/30/1996
	US-5,953,502	09/14/1999	Helbig, Sr., Walter A.			02/13/1997
	US-5,956,408	09/21/1999	Arnold, Todd W.			02/12/1998
	US-5,970,147	10/19/1999	Davis, Derek L., et al.			09/30/1997
	US-5,978,475	11/02/1999	Schneier, Bruce, et al.			07/18/1997
	US-5,978,481	11/02/1999	Ganesan, Ramanan V., et al.			04/22/1997
	US-5,987,557	11/16/1999	Ebrahim, Zahir			06/19/1997
	US-6,014,745	01/11/2000	Ashe, Vincent			07/17/1997
	US-6,044,478	03/28/2000	Green, Daniel W.			05/30/1997
	US-6,055,637	04/25/2000	Hudson, Jerome D., et al.			09/27/1996
	US-6,058,478	05/02/2000	Davis, Derek L.			04/28/1997
	US-6,061,794	05/09/2000	Angelo, Michael E.			09/30/1997
	US-6,075,938	06/13/2000	Bugnion, Edouard, et al.			01/10/1998
	US-6,085,296	07/04/2000	Karkhanis, Nitin Y., et al.			11/12/1997
	US-6,088,262	07/11/2000	Nasu, Hiroaki			02/24/1998
	US-6,092,095	07/18/2000	Maytal, Benjamin			12/30/1996
	US-6,101,584	08/08/2000	Satou, Mitsugu, et al.			05/02/1997
	US-6,115,816	09/05/2000	Davis, Derek L.			05/18/1998
	US-6,125,430	09/26/2000	Noel, Karen L., et al.			10/30/1998
	US-6,148,379	11/14/2000	Schimmel, Curt F.			09/19/1997
	US-6,158,546	12/12/2000	Hanson, Roger D., et al.			06/25/1999
	US-6,173,417	01/09/2001	Merrill, John W.			04/30/1998
	US-6,175,924	01/16/2001	Arnold, Todd W.			06/20/1997
	US-6,175,925	01/16/2001	Nardone, Joseph M., et al.			09/05/1997
	US-6,178,509	01/23/2001	Nardone, Joseph M.			09/05/1997
	US-6,182,089	01/30/2001	Ganapathy, Narayanan, et al.			09/23/1997
	US-6,188,257	02/13/2001	Buer, Mark L.			02/01/1999
	US-6,192,455	02/20/2001	Bogin, Zohar, et al.			03/03/1998
	US-6,205,550	03/20/2001	Nardone, Joseph M., et al.			09/05/1997
	US-6,212,635	04/03/2001	Reardon, David C.			07/14/1998
	US-6,222,923	04/24/2001	Schwenk, Joerg			12/15/1997
	US-6,249,872	06/19/2001	Wildgrube, Frank L., et al.	713	200	01/05/1998

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/724321
Filing Date	November 26, 2003
First Named Inventor	Espinosa, Gustavo
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 4 of 7

Attorney Docket No: 42P17409

US-6,252,650	06/26/2001	Nakaumra, Kouji			08/28/2000
US-6,269,392	07/31/2001	Cotichini, Christian , et al.			06/09/1997
US-6,272,533	08/07/2001	Browne, Hendrik A., et al.	709	213	02/16/1999
US-6,272,637	08/07/2001	Little, Wendell L., et al.	713	194	04/14/1997
US-6,275,933	08/14/2001	Fine, Michael , et al.			04/30/1999
US-6,282,650	08/28/2001	Davis, Derek L.			01/25/1999
US-6,282,651	08/28/2001	Ashe, Vincent			10/07/1999
US-6,282,657	08/28/2001	Kaplan, Michael M., et al.			09/16/1998
US-6,292,874	09/18/2001	Barnett, Philip C.	711	153	10/19/1999
US-6,301,646	10/09/2001	Hostetter, Matthew J.			07/30/1999
US-6,308,270	10/23/2001	Guthery, Scott B., et al.			02/13/1998
US-6,314,409	11/06/2001	Schneck, Paul B., et al.			10/26/1998
US-6,321,314	11/20/2001	Van Dyke, Korbin S.			06/09/1999
US-6,330,670	12/11/2001	England, Paul , et al.			01/08/1999
US-6,339,815	01/15/2002	Feng, Eugene			08/14/1998
US-6,339,816	01/12/2002	Bausch, Jean			01/18/2000
US-6,357,004	03/12/2002	Davis, Derek L.			09/30/1997
US-6,363,485	03/26/2002	Adams, Carlisle			09/09/1998
US-6,374,286	04/16/2002	Gee, John K., et al.			04/06/1998
US-6,374,317	04/16/2002	Ajanovic, Jasmin , et al.	710	105	10/07/1999
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Application Number	10/724321
Filing Date	November 26, 2003
First Named Inventor	Espinosa, Gustavo
Group Art Unit	Unknown
Examiner Name	Unknown

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